

# <u>Annexure – B</u> Investor Complaint Data by Stock Broker

#### Data for month ending- March 2023

| Sr. No. | Received from           | Carried<br>forward from<br>previous<br>month | Received<br>during the<br>month | Total<br>Pending | Resolved* | Pending at the end of the month**    |                                     | Average<br>Resoluti         |
|---------|-------------------------|--|---------------------------------|------------------|-----------|--------------------------------------|-------------------------------------|-----------------------------|
|         |                         |  |                                 |                  |           | Pending for<br>less than 3<br>months | Pending for<br>more than 3<br>month | on<br>time^<br>(in<br>days) |
| 1       | Directly from Investors | -  | -                               | -                | -         | -                                    | -                                   | -                           |
| 2       | SEBI (SCORE S)          | -  | -                               | -                | -         | -                                    | -                                   | -                           |
| 3       | Stock Exchanges         | 1  | -                               | 1                | -         | -                                    | 1                                   | -                           |
| 4       | Other Sources (if any)  | -  | -                               | -                | -         | -                                    | -                                   | -                           |
|         | Grand Total             | 1  | -                               | 1                | -         | -                                    | 1                                   | _                           |

## **Beeline Broking Limited**

CIN No: U51900GJ2014PLC080598

Samudra Complex, Office no. 701-702, Nr. Girish Cold Drinks, Off. C G Road, Navrangpura – 380009, Ahmedabad. Phone: 079-66664040 E: connect@beelinebroking.com W: www.beelinebroking.com



|         | Trend of monthly disposal of complaints |   |          |           |           |  |  |
|---------|---|---|----------|-----------|-----------|--|--|
| Sr. No. | Month                                   | Carried forward<br>from previous<br>month | Received | Resolved* | Pending** |  |  |
| 1       | April, 2022                             | 2   | -        | -         | 2         |  |  |
| 2       | May, 2022                               | 2   | -        | -         | 2         |  |  |
| 3       | June, 2022                              | 2   | -        | -         | 2         |  |  |
| 4       | July, 2022                              | 2   | -        | -         | 2         |  |  |
| 5       | August, 2022                            | 2   | -        | -         | 2         |  |  |
| 6       | September, 2022                         | 2   | -        | -         | 2         |  |  |
| 7       | October, 2022                           | 2   | -        | -         | 2         |  |  |
| 8       | November, 2022                          | 2   | -        | -         | 2         |  |  |
| 9       | December, 2022                          | 2   | -        | 1         | 1         |  |  |
| 10      | January, 2023                           | 1   | -        | -         | 1         |  |  |
| 11      | February, 2023                          | 1   | -        | -         | 1         |  |  |
| 12      | March, 2023                             | 1   | -        | -         | 1         |  |  |

<sup>\*</sup>Should include complaints of previous months resolved in the current month, if any.

## Trend of annual disposal of complaints

| Sr. No. | Year | Carried forward from previous year | Received<br>during the<br>year | Resolved during the year | Pending at the end of the year |
|---------|------|------------------------------------|--------------------------------|--------------------------|--------------------------------|
|---------|------|------------------------------------|--------------------------------|--------------------------|--------------------------------|

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<sup>\*\*</sup>Should include total complaints pending as on the last day of the month, if any.

<sup>^</sup>Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.



| 1 | 2017-18            | - | - | - | - |
|---|--------------------|---|---|---|---|
| 2 | 2018-19            | - | - | - | - |
| 3 | 2019-20            | - | 2 | - | 2 |
| 4 | 2020-21            | 2 | - | - | 2 |
| 5 | 2021-22            | 2 | - | - | 2 |
| 6 | 2022-23            | 2 | - | 1 | 1 |
|   | <b>Grand Total</b> | 6 | 2 | 1 | 7 |

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