

**SURVEILLANCE POLICY of M/S. BEELINE BROKING LIMITED****PRECAUTIONS WHILE TRADING FOR CLIENTS:**

We, M/S. BEELINE BROKING LIMITED (BBL) has a dedicated Risk Management & Surveillance Dept. which directly reports to Management of the Company. Which has a responsibility to ensure proper due diligence while registering new clients or Tracking Trading Pattern of Existing Registered Clients.

BBL is cautious while trading in illiquid securities either on own account or on behalf of our clients. We have procedures in place to identify abnormal orders/trades, Quantity & Price Movement and obtain necessary explanation for them.

**QUANTITY LIMIT & VALUE LIMIT FOR EACH ORDER:**

- The management shall decided from time to time & shall place system to define quantity limit of specific underlying & scrip along with value limit for each order for every clients.
- Quantity & Order value parameters shall be decided upon the Margin Available with the BBL & decided by the Management from time to time.
- No Client shall have unlimited quantity & order value facility.
- Quantity & Order value shall be reviewed & decided upon movement in the Market & volatility in the Market.

**QUANTITY LIMIT & VALUE LIMIT FOR EACH USER ID:**

- The management shall decided from time to time & shall place system to define quantity limit of specific underlying & scrip along with value limit for each order for USER ID.
- Quantity & Order value parameters shall be decided upon the Margin Available with the BBL & decided by the Management from time to time.
- No USER shall have unlimited quantity & order value facility.
- Quantity & Order value shall be reviewed & decided upon movement in the Market & volatility in the Market.

**QUANTITY LIMIT & VALUE LIMIT FOR EACH BRANCH ID:**

- The management shall decided from time to time & shall place system to define quantity limit of specific underlying & scrip along with value limit for each order for every Branch.
- Quantity & Order value parameters shall be decided upon the Margin Available with the BBL & decided by the Management from time to time.
- No Branch shall have unlimited quantity & order value facility.
- Quantity & Order value shall be reviewed & decided upon movement in the Market & volatility in the Market.

**Beeline Broking Ltd.**

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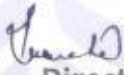
*Saukh*

**REGULAR REVIEW OF QUANTITY LIMIT & VALUE LIMITS:****NO USER ID SHALL HAVE UNLIMITED QUANTITY & ORDER VALUE PARAMETERS:**

Moreover, Management shall also be reviewing following instances on ongoing basis:

- ☐ Orders placed away from the market price
- ☐ Significant concentration of the client to the market quantity
- ☐ Trading concentrated only in one scrip or a group of scrips.
- ☐ Repeated pattern of losses.
- ☐ Client trading indulging in synchronized transactions
- ☐ Regular trading in securities classified as illiquid by the Exchanges.
- ☐ Possible order book manipulation

For, ~~Beeline Broking Limited~~  
For, Beeline Broking Limited

  
Director

**MR. VANESH PANCHAL**

Director - Compliance Officer



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