

**Policy on code changes/modification in error of M/S. BEELINE
BROKING LIMITED**

No Code changes/modifications are to be done without following the approved procedure by the Exchange for code changes.

- The code changes are made only for the correcting the mistakes by terminal operator if it is brought to notice well within time limit permitted by the Exchange.
- Rigorous training imparted to each and every terminal operator and if he/she continues to make mistakes warning is to be issued.
- As soon as the code change/modification request is received, it is checked & verified as to know who has committed mistake and brought to the notice to management and after obtaining approval verbally, the code changes are quickly carried out. We would constitute genuine errors with regard to client code modifications if
 - Error due to communication and/or punching or typing such that the original client code/name and the modified client code/name are similar to each other.
 - Modification within relatives ('Relative' for this purpose would mean "Relative" as defined under the Companies Act, 1956).
- We keep record of code changes and mistakes made by repetitive terminal operator to ensure such mistakes are minimized.
- Code changes module is not used for any purpose other than this.
- We disclose the client codes which are classified as 'Error Accounts' to the Exchange at the time of UCC upload.
- Under the provisions of **SEBI circular CIR/DNPD/6/2011 dated 5th July 2011** and follow Error policy as under:
 - i) Orders punched under wrong client codes shall be reported to the Management who will authorize transfer of such trades to the Error account.
 - ii) Such trades punched under wrong client codes shall be transferred to the above Error account on the day of trade.



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- iii) Positions outstanding in the error account shall be liquidated in the market only within the shortest possible time.
 - iv) Such positions shall not be transferred to any client's account from 'Error Accounts' under any circumstances.
 - v) The error account code shall be uploaded to the Exchanges under UCC upload.
- We periodically review the trades flowing to the 'Error Account.'

For, Beeline Broking Limited
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Director

MR. VANESH PANCHAL
Director - Compliance Officer



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